

Senior Risk Business Partner

Our Massive Transformational Purpose

Unleash our unparalleled customer care for community good

Our Values

People first: We put you at the centre of everything we do

One team: We're stronger together

Innovation: We find a better way

Integrity: We do right by you

Keep it simple: We like things straight forward

Senior Risk Business Partner

Working at TSB

Our people are proud to belong to a 100% independent Kiwi owned bank and take delight in seeing the results of their efforts, being invested back into New Zealand. TSB people can see the impact of their work benefiting New Zealanders as they work towards unleashing unparalleled customer care for community good.

Our strong corporate values of: One Team, People First, Integrity, Innovation, Keep it Simple keep our standards high and set us apart. We walk-the-talk through our People First value by sharing an annual dividend to help local communities thrive. The bank also provides our own team members with a performance focused work place where they can strive.

We work together as One Team to provide our customers with a financially sustainable future and encourage every TSB person to actively engage and take part in our journey. We aim to make remarkable experiences for our people just as our people create memorable experiences for our customers each day.

Role dimensions

Reports to:	Head of Line One Risk, COE
Department:	Regulatory Compliance Uplift Office
Job Family:	Specialist
Location:	New Plymouth
Direct Reports:	NA
Total Employees:	NA
Financial Authority:	No

Role requirements

Primary purpose

The Senior Risk Business Partner will assist the development and completion of Line One Risk responsibilities to ensure compliance is achieved in relation to AML/CFT, CCCFA, FSLAA, FMCA and other relevant acts and compliance obligations as part of the Line One Risk Centre of Excellence. The Centre of Excellence (COE) spans all business units, except for Second and Third Line Risk, offering support to develop all business units' risk maturity.

Functions include providing leadership, support and guidance to the Line 1 Risk COE team and other business units as well as the identification, development and delivery of front-line controls, reporting and monitoring tasks. Ensuring through continuous engagement and interaction with all business activities are conducted in accordance with the policies, procedures and broader risk frameworks employed by TSB. This includes identifying, assessing and monitoring potential risk exposures and supporting the business units in

minimising risk to the bank. The Senior Risk Business Partner may on request, through periods of leave or absence, act as delegate for the Head of Line One Risk CoE.

Role specific areas of responsibility

As a Line One Risk role, this position will be responsible for:

- Providing leadership guidance and support to the Line 1 Risk COE team, including the Risk Business Partners
- Working with senior stakeholders regarding regulatory projects and Priority 1-3 incidents
- Providing Line 1 risk support on key compliance projects
- Be a champion and voice of Line 1 Risk across the business
- Assisting business units to ensure they are meeting their obligations and requirements from a legal, industry and internal governance perspective
- Assisting business units in the development, review and management of policies, standard and procedures relating to compliance
- The development of risk frameworks for all business units, including the identification of any additional policies, standards procedures and controls
- To provide guidance, advice and support to the all business units in operational risk and compliance
- Facilitate the embedding of risk and compliance frameworks into business units
- Subject matter expert on risk and compliance including:
 - Key legislation: AML/CFT, FMCA, FSLAA, and CCCFA
 - Internal policies
 - Internal procedures
- Responsible for risks and compliance training across all business units (working with the internal learning and training team)
- Ongoing monitoring and controls management, alongside the second line risk business unit
- Champion a risk-led culture and ongoing development of an operational risk focus
- Support and recommend ongoing improvements to existing policies and procedures
- Problem-solving on risk related business unit challenges
- Support the delivery of bank-wide operational risk outcomes through working with business units and Second and Third Line Risk as a key 'linking' role
- Develop strong working relationships with business units and Second and Third Line Risk and raise awareness for potential operational risk issues
- Assist business units in identifying risk issues
- Communicate business unit control failures and risk events to risk management
- Review business unit compliance and risk-based training materials to ensure these are up to date.

Generic responsibilities

- Keep up-to-date with and comply with all bank policies, standards and procedures
- Comply with all health and safety policies, directions and instructions and ensure that in the performance of duties not to undermine own health and safety or the health

and safety of any other person. Be continually mindful of TSB's Health and Safety Policy:

- No business objective will take priority over health and safety
- All incidents are preventable
- Whilst management have ultimate accountability, we all have responsibility for health, safety and wellness
- All employees have the responsibility to stop any job they believe is unsafe or cannot be continued in a safe manner

At all times demonstrate the bank's values

- Embrace change and act as a change agent – accepting, embedding and reinforcing change in the workplace

Person specification

Required experience and qualifications

- A background in the financial services industry either within a business/corporate environment, or in compliance
- Work experience of 10 plus years within a risk role, ideally the financial services sector, with a substantial portion of that experience in risk management and compliance
- Experience and a proven track record in developing relationships with senior stakeholders
- Experience in managing, mentoring or supporting colleagues/ team members
- Tertiary qualified in a relevant discipline
- Knowledge and understanding of the AML/CFT, FMCA and FSLAA, CCCFA legislation.
- Experience in working within a fast-paced agile environment, including managing delivered and delivery risks (preferable)
- A strong and demonstratable understanding of risk management and compliance techniques and the operation of related frameworks, processes and procedures
- Experience in dealing with senior management, consultants and regulatory bodies.
- Strong analytical skills and problem-solving abilities
- Ability to build strong, collaborative relationships with multiple business stakeholders

From time to time, there may be additional activity not contained within this position description that the appointee is to complete in the interests of the appointment and their own personal development.

This position description provides a broad overview of responsibilities. The position description is a living document, and the Bank reserves the right to amend from time to time as required.